

Name:	Steve Shofner
Presentation Title:	Introduction To IT Auditing For The Non-IT Auditor
Abstract:	
<p>This is an introductory-level course designed to provide a high-level overview of IT Auditing to non-IT Auditors. Here's what you will learn.</p> <p>Part 1 (C11):</p> <ul style="list-style-type: none"> • Define and discuss objectives, risks, and controls and focus on how similar/dissimilar they are between financial/operational audits & IT audits • Introduce the COBIT framework at a high-level • Discuss the relationship between automated controls (a.k.a. application controls) and IT general controls <p>Part 2 (C12):</p> <ul style="list-style-type: none"> • Teach attendees how to test IT general controls in a simple environment <ul style="list-style-type: none"> - User Administration & System Security Controls - Change Management - IT Operations • Identify characteristics of audit areas when non-IT auditors may want to bring in more expertise (knowing when to call in the experts) 	
Target Audience:	
<p>Anyone interested in gaining a better understanding of what IT Auditors do, or anyone wanting to know how easy it can be to test general controls. Primarily, this would include:</p> <ul style="list-style-type: none"> • Financial auditors • Business users responsible for 'desktop applications,' thereby responsible for supporting the audit of those applications • Business users (or anyone) that want to understand IT general controls and why they matter 	
COBIT Objectives:	
<p>This course will introduce all COBIT areas, but will focus on the following:</p> <p>PO 1-3, 5-6, 8-10 AI 1-4, 6-7 DS 3-5, 8-13 ME 1-2</p>	
Speaker Bio:	
<p>Steve is the IT Manager of the Sarbanes-Oxley (SOX) Program Management Office (PMO) group for Kaiser Permanente. Previously, he was the IT Audit Manager at Williams-Sonoma and has ten years of experience with PricewaterhouseCoopers and Ernst & Young, primarily performing external audits and SAS 70 audits. One of his roles with Ernst & Young was the Pacific Northwest Education Coordinator for their IT Audit group. He also spent a rotation in their National practice as a contributor to their IT audit methodologies. Steve has extensive experience working on integrated audits, which seek to maximize audit efficiencies by relying on IT controls to the fullest extent.</p>	